

## STATUS OF AUDITS STARTED DURING THE YEAR 2006/07

<b>Audit</b>	<b>Status</b>	<b>Audit Opinion</b>
<b>Fundamental Systems</b>		
Statement on Internal Control-2005/6	Final	Satisfactory
Creditors	Final	Satisfactory
Education Transport	Final	Marginal
Bank Reconciliation	Final	Satisfactory
Salaries - Non Education	Final	Satisfactory
Housing Benefit Payments	Final	Marginal
Early Years Development	Final	Satisfactory
Salaries - Children Services	Final	Marginal
ICT FMS and Procurement	Final	Unsatisfactory
FMS- Main Accounting Systems	Final	Satisfactory
NDR	Final	Satisfactory
Cash and Deposits	Final	Good
Debtors	Final	Satisfactory
Supporting People	Final	Satisfactory
LMS- Financial Management System	Final	Good
Council Tax	Final	Satisfactory
Asset Register	Final	Good
Treasury Management	Final	Good
<b>Non Fundamental Systems</b>		
Members Expenses/Register of Interest	Final	Satisfactory
Creditor Emergency Payments	Final	Satisfactory
Section 106 Agreements	Final	Marginal
Aftercare/Care Leavers Payments	Final	Marginal
Car Loans	Final	Marginal
Agency Payments – Adult Services	Final	Satisfactory
Market Fees and Charges	Final	Unsatisfactory
Management of Property Portfolio	WIP	
ICT- Use of Contractors	WIP	
<b>Governance</b>		
Contract Tendering /Monitoring – Environment Directorate	Final	Marginal
Contract Tendering /Monitoring –Adult and Community Directorate	Final	Marginal
Contract Tendering /Monitoring – Resources Directorate	Final	Marginal
Delegation - Financial Arrangements	Final	Marginal
<b>Performance Management</b>		
Children Services Performance Management and Delivery of the JAR Action Plan	Final	Marginal
Performance Management Framework	Final	Satisfactory
IPF-Stock Turnover –Issues per 1000 population/books per 1000 population	Final	Marginal
BVPI 109 Planning Speed	Final	Marginal
BVPI 183a Average time in Temporary Accommodation	Final	Satisfactory

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HIP HSSA- Private Sector Unfit Properties Made Fit.	Final	Satisfactory
IPF-Stock Level per 1,000 Population	Final	Marginal
BVPI 183b – Average time in Temporary Accommodation Hostels	Final	Satisfactory
IPF- Cost per Library Visit	Final	Satisfactory
BVPI 102 – Passenger Journeys on Busses	Final	Satisfactory
BVPI 82a – Recycling Performance	Final	Satisfactory
BVPI 165 – Percentage of Pedestrian Crossings with facilities for Disabled People.	Final	Marginal
BVPI 215 – Speed in Fixing Street Lights	Final	Marginal
BVPI 53 Home Care	Final	Satisfactory
<b>ICT</b>		
E-Gateway Programme	Final	Satisfactory
Adult Services- CLIX System Controls	Final	Unsatisfactory
Acquisition of Equipment	Final	Satisfactory
Homepoint System	Final	Marginal
Management of Software Licences	Final	Marginal
Community Access Points (CAPS)	Final	Marginal
Fire-Wall Security	Final	Satisfactory
Back up and Recovery	Final	Satisfactory
<b>Establishment Audits</b>		
Kingstone High School	Final	Satisfactory
John Kyrle High School	Final	Satisfactory
Ashperton Primary School	Final	Marginal
Almeley Primary School	Final	Marginal
Much Marcle Primary School	Final	Marginal
Lady Hawkins High	Final	Marginal
Garway School	WIP	
Fairfield High – Tool Kit	WIP out in Draft	
John Kyrle High Tool Kit	Final	Satisfactory
Bishop of Hereford Bluecoat Tool Kit	WIP- out in Draft	
John Masefield High Tool Kit	WIP out in Draft	
Whitecross High Tool Kit	WIP out in Draft	
Aylestone High Tool Kit	In Draft	
Wyebriidge Sports College- Tool Kit	WIP out in Draft	
Verification and Probity		
Waste Management Contract	Final	N/a
Whitecross SSCO	Final	N/a
Minster SSCO	Final	N/a
Diversity- The Equality Standard	Final	N/a
<b>Recommendation Follow up</b>		
Hereford Museum	Final	N/a
E-Gateway- Audit Commission Recommendations	Final	N/a
Anti Money Laundering	Final	N/a

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<b>Audit</b>	<b>Status</b>	<b>Audit Opinion</b>
<b>Value for Money</b>		
Postage	Final	N/a
Telephones	Final	N/a
<b>Risk Management</b>		
Risk Management Framework	WIP	

## Quantification and Classification of Internal Control Levels

<b>Control Level</b>	<b>Definition</b>
<b>Good</b>	<b>A few minor recommendations (if any).</b>
<b>Satisfactory</b>	Minimal risk; a few areas identified where changes would be beneficial.
<b>Marginal</b>	<b>A number of areas have been identified for improvement.</b>
<b>Unsatisfactory</b>	Unacceptable risks identified, changes should be made.
<b>Unsound</b>	Major risks identified; fundamental improvements are required.

The Audit Opinion is based on a number of factors including the number of Level 1 and, to a lesser extent, Level 2 recommendations. Weighting is given to different aspects of the Audit e.g. a high weighting for budgetary control. It is expected that larger systems and establishments will receive higher numbers of recommendations and allowance is made for this.